



Securities Registration and Deposit

When reporting securities, your report is not complete until you provide evidence of the property being transferred into the ownership of the State of Iowa unclaimed property. The required evidence depends on the type of security and how it will be delivered to the State. Two days prior to delivery, you must email your intent to deliver to our custodian, Avenu Insights.

Book Entry

Eligible shares must be delivered through Depository Trust Company (DTC), Direct Registration System (DRS) or Deposit/Withdrawal at Custodian (DWAC) if not DTC participant:

DTC # 901 Reference: State of Iowa
Agent Bank # 26500 Acct #303668

Two business days prior to actual delivery, we request an Excel file of the securities, including CUSIP numbers, number of shares, issue names and the delivering party's DTC participant number. Email the file to upch.custody@avenuinsights.com.

Register and Deliver Physical Certificates

Only if unable to deliver through DTC, DRS or DWAC:

Hare & Co/ Acct # 303668
FEIN # 13-6062916
The Depository Trust Company
570 Washington Blvd – 5th FL
Jersey City, NJ 07310
Attn: BNY Mellon/Branch Deposit Department/Account #303668 – State of Iowa Unclaimed Property

Note: Physical certificates will be returned if DTC, DWAC or DRS eligible.

Two days prior to actual delivery, we request an Excel file of the securities, including CUSIP numbers, number of shares, issue names, certificate numbers and the delivering party's information. Email the file to upch.custody@avenuinsights.com.

Open-End Mutual Funds

Mutual fund accounts held for the State of Iowa unclaimed property must be opened in advance. Avenu will provide the account numbers for all mutual funds to be transferred into the State's account. Contact Avenu at upch.custody@avenuinsights.com to obtain the account numbers three business days prior to attempting delivery.

Send interested party statements for Open-End Mutual Fund accounts to the following:

Avenu Insights & Analytics
C/O Custody Department
100 Hancock Street, 10th Floor
Quincy, MA 02171

A confirmation statement showing the State of Iowa unclaimed property as the owner of the shares must accompany your report of unclaimed property in order for your report to be complete.

Dividend Reinvestments Plans and Closed-End Funds

Close accounts and forward whole shares via DTC, review instructions on page 7. Fractional shares must be sold at the owner level and money applied to each individual owner. Include the original check with your report of unclaimed property.

Foreign Securities

Contact our securities custodian, Avenu Insights & Analytics, for instructions.

Email: upch.custody@avenuinsights.com

Federal Reserve Securities

Deliver as follows:

Federal Reserve Bank of New York
ABA#0210-0001-8
Bk of NYC/CUST
Account # 303668 – State of Iowa Unclaimed Property

Book Entry & Brokerage Account Statements

Mail all statements to the address below and include a copy of the statement with your report.

State of Iowa Unclaimed Property
C/O Avenu Insights & Analytics
Attn. Custody Department
100 Hancock St, 10th floor
North Quincy, MA 02171

Worthless Securities

Iowa law allows businesses to elect not to report unclaimed worthless/non-freely transferable securities. If a business elects not to report worthless/non-freely transferable shares, the securities can be kept on in-house accounts under the State's name.